

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
GLAUKO.1CP1C1APPLICATION NO.
10/824,052APPLICANT
Hosheng Tu et al.FILING DATE
April 14, 2004GROUP
-3760-

3761

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
SLB	1	DES 490,152	05/18/04	Myall et al.			
	2	RE35,390	12/03/96	Smith			
	3	3,788,327	01/29/74	Donowitz et al.			
	4	4,037,604	07/26/97	Newkirk			
	5	4,168,697	09/25/79	Cantekin			
	6	4,175,563	11/27/79	Arenberg et al.			
	7	4,402,681	09/06/83	Haas et al.			
	8	4,428,746	01/31/84	Mendez			
	9	4,501,274	02/26/85	Skjaerpe			
	10	4,521,210	06/04/85	Wong			
	11	4,554,918	11/26/85	White			
	12	4,604,087	08/05/86	Joseph			
	13	4,632,842	12/30/86	Karwoski et al.			
	14	4,634,418	01/06/87	Binder			
	15	4,718,907	01/12/88	Karwoski et al.			
	16	4,722,724	02/02/88	Schocket			
	17	4,733,665	03/29/88	Palmaz			
	18	4,750,901	06/14/88	Molteno			
	19	4,787,885	11/29/88	Binder			
	20	4,804,382	02/14/89	Turina et al.			
	21	4,820,626	04/11/89	Williams et al.			
	22	4,846,172	07/11/89	Berlin			
	23	4,863,457	09/05/89	Lee			
	24	4,886,488	12/12/89	White			
SLB	25	4,900,300	02/13/90	Lee			
SLB	26	4,936,825	06/26/90	Ungertelder			

EXAMINER

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DATE CONSIDERED

8/31/06

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1448	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GLAUKO.1CP1C1	APPLICATION NO. 10/824,052
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Hosheng Tu et al.	
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
DeB	27	4,946,436	08/07/90	Smith			
	28	4,968,296	11/06/90	Ritch et al.			
	29	5,041,081	08/20/91	Odrich			
	30	5,073,163	12/17/91	Lippman			
	31	5,092,837	03/03/92	Ritch et al.			
	32	5,095,887	03/17/92	Leon et al.			
	33	5,127,901	07/07/92	Odrich			
	34	5,129,895	07/14/92	Vassiliadis et al.			
	35	5,171,213	12/15/92	Price, Jr.			
	36	5,178,604	01/12/93	Baerveldt et al.			
	37	5,180,362	01/19/93	Worst			
	38	5,248,451	09/21/93	Trescony et al.			
	39	5,290,295	03/01/94	Querals et al.			
	40	5,300,020	04/05/94	L'Esperance, Jr.			
	41	5,318,513	06/07/94	Leib et al.			
	42	5,334,137	08/02/94	Freeman			
	43	5,338,291	08/16/94	Speckman et al.			
	44	5,346,464	09/13/94	Camras			
	45	5,360,399	11/01/94	Stegmann			
	46	5,370,607	12/06/94	Memmen			
	47	5,370,641	12/06/94	O'Donnell, Jr.			
	48	5,372,577	12/13/94	Ungerleider			
	49	5,397,300	03/14/95	Baerveldt et al.			
	50	5,433,701	07/18/95	Rubinstein			
	51	5,454,796	10/03/95	Krupin			
	52	5,472,440	12/05/95	Beckman			

EXAMINER	DeBanco	DATE CONSIDERED	8/31/06
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GLAUKO.1CP1C1	APPLICATION NO. 10/824,052
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
<u>SLB</u>	53	5,476,445	12/19/95	Baerveldt et al.		
	54	5,486,165	01/23/96	Stegmann		
	55	5,516,522	05/14/96	Peyman et al.		
	56	5,520,631	05/28/96	Nordquist et al.		
	57	5,557,453	09/17/96	Schalz et al.		
	58	5,558,629	09/24/96	Baerveldt et al.		
	59	5,558,630	09/24/96	Fisher		
	60	5,562,641	10/08/96	Flomenblit et al.		
	61	5,601,094	02/11/97	Reiss		
	62	5,601,549	02/11/97	Miyagi		
	63	5,626,558	05/06/97	Suson		
	64	5,626,559	05/06/97	Solomon		
	65	5,639,278	06/17/97	Dereume et al.		
	66	5,651,783	07/29/97	Reynard		
	67	5,665,114	09/09/97	Weadock et al.		
	68	5,670,161	09/23/97	Healy et al.		
	69	5,676,679	10/14/97	Simon et al.		
	70	5,681,275	10/28/97	Ahmed		
	71	5,702,414	12/30/97	Richter et al.		
	72	5,702,419	12/30/97	Berry et al.		
	73	5,704,907	01/06/98	Nordquist et al.		
	74	5,713,844	02/03/98	Peyman		
	75	5,723,005	03/03/98	Herrick		
	76	5,741,333	04/21/98	Frid		
<u>V</u>	77	5,743,868	04/28/98	Brown et al.		
<u>CDL</u>	78	5,752,928	05/19/98	De Roulhac et al.		

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)	
AB	79 5,766,243	06/16/98	Christensen et al.				
	80 5,785,674	07/28/98	Mateen				
	81 5,807,302	09/15/98	Wandel				
	82 5,810,870	09/22/98	Myers et al.				
	83 5,830,139	11/03/98	Abreu				
	84 5,830,171	11/03/98	Wallace				
	85 5,836,939	11/07/98	Negus et al.				
	86 5,865,831	02/02/99	Cozean et al.				
	87 5,868,697	02/09/99	Richter et al.				
	88 5,879,319	03/09/99	Pynson et al.				
	89 5,882,327	03/16/99	Jacob				
	90 5,886,822	03/23/99	Spitzer				
	91 5,893,837	04/13/99	Eagles et al.				
	92 5,908,449	06/01/99	Bruchman et al.				
	93 5,932,299	08/03/99	Katoot				
	94 5,968,058	10/19/99	Richter et al.				
	95 5,981,598	11/09/99	Tatton				
	96 6,004,302	12/21/99	Brierley				
	97 6,007,510	12/28/99	Nigam				
	98 6,007,511	12/28/99	Prywes				
	99 6,033,434	03/07/00	Borghi				
	100 6,045,557	04/04/00	White et al.				
	101 6,050,970	04/18/00	Baerveldt				
	102 6,059,772	05/09/00	Hsia et al.				
AB	103 6,063,116	05/16/00	Kelleher				
AB	104 6,063,398	05/16/00	Kelleher				

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FORM PTO-1440	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. GLAUKO.1CP1C1	APPLICATION NO. 10/824,052
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
SLB	105	6,059,812	05/09/00	Clerc et al.		
	106	6,071,286	06/06/00	Mawad		
	107	6,077,299	06/20/00	Adelberg et al.		
	108	6,102,045	08/15/00	Nordquist et al.		
	109	6,142,990	11/07/00	Burk		
	110	6,165,210	12/26/00	Lau et al.		
	111	6,168,575	01/02/01	Soltanpour		
	112	6,174,305	01/16/01	Mikus et al.		
	113	6,187,016	02/13/01	Hedges et al.		
	114	6,193,656	02/27/01	Jeffries et al.		
	115	6,197,056	03/06/01	Schachar		
	116	6,203,513	03/20/01	Yaron et al.		
	117	6,228,873	05/08/01	Brandt et al.		
	118	6,231,597	05/15/01	Deem et al.		
	119	6,241,721	06/05/01	Cozean et al.		
	120	6,254,612	07/03/01	Hieshima		
	121	6,266,182	07/24/01	Morita		
	122	6,268,398	07/31/01	Ghosh et al.		
	123	6,342,058	01/29/02	Portney		
	124	6,375,642	04/23/02	Grieshaber et al.		
	125	6,428,501	08/06/02	Reynard		
	126	6,450,984	09/17/02	Lynch et al.		
	127	6,464,724	10/15/02	Lynch et al.		
	128	6,524,275	02/25/03	Lynch et al.		
SLB	129	6,533,768	03/18/03	Hill		

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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
DLB	130	6,544,249	04/08/03	Yu et al.			
	131	6,585,680	07/01/03	Bugge			
	132	6,626,858	09/30/03	Lynch et al.			
	133	6,629,981	10/07/03	Bui et al.			
	134	6,638,239	10/28/03	Bergheim et al.			
	135	6,666,841	12/23/03	Gharib et al.			
	136	6,699,211	03/02/04	Savage			
	137	6,736,791	05/18/04	Tu et al.			
	138	6,780,165	08/24/04	Bergheim et al.			
	139	2002/0133168	09/12/02	Smedley et al.			
	140	2002/0143284	10/03/02	Tu et al.			
	141	2002/0169130	11/14/02	Tu et al.			
	142	2002/0188308	12/12/02	Tu et al.			
	143	2003/0009124	01/09/03	Lynch et al.			
	144	2003/0055372	03/20/03	Lynch et al.			
	145	2003/0060752	03/27/03	Bergheim et al.			
	146	2003/0069637	04/10/03	Lynch et al.			
	147	2003/0088260	05/08/03	Smedley et al.			
	148	2003/0097151	05/22/03	Smedley et al.			
	149	2003/0120200	06/26/03	Bergheim et al.			
	150	2003/0181848	09/25/03	Bergheim et al.			
	151	2003/0187384	10/02/03	Bergheim et al.			
	152	2003/0187385	10/02/03	Bergheim et al.			
DLB	153	2003/0220602	11/27/03	Lynch et al.			
DLB	154	2003/0220603	11/27/03	Lynch et al.			

EXAMINER	DeBianco	DATE CONSIDERED	8/31/03
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U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
SLB	155	2003/0229303	12/11/03	Haffner et al.			
	156	2003/0236484	12/25/03	Lynch et al.			
	157	2004/0024345	02/05/04	Gharib et al.			
	158	2004/0050392	03/18/04	Tu et al.			
	159	2004/0102729	05/27/04	Haffner et al.			
	160	2004/0111050	06/10/04	Smedley et al.			
SLB	161	2004/0127843	07/01/04	Tu et al.			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
SLB	162	200072059 A1	12/06/00	Australia			
	163	2,244,646	08/11/98	Canada			
	164	0 858 788 A1	08/19/98	Europe			
	165	0 898 947 A2	03/03/99	Europe			
	166	1 114 627 A1	11/30/00	Europe			
	167	2 710 269	09/22/93	France			
	168	198 40 047 A1	03/23/00	Germany			
	169	11-123205	1/105/99	Japan			
	170	WO 89/00869	02/09/89	PCT			
	171	WO 91/18568	12/12/91	PCT			
	172	WO 92/19294	11/12/92	PCT			
	173	WO 94/13234	06/23/94	PCT			
SLB	174	WO 94/21205	09/29/94	PCT			
SLB	175	WO 95/08310	03/30/95	PCT			

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FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
(JLB)	176	WO 98/30181	01/12/98	PCT				
	177	WO 98/35639	08/20/98	PCT				
	178	WO 99/26567	06/03/99	PCT				
	179	WO 99/30641	06/24/99	PCT				
	180	WO 99/38470	08/05/99	PCT				
	181	WO 00/13627	03/16/00	PCT				
	182	WO 00/64389	04/26/00	PCT				
	183	WO 00/64390	04/26/00	PCT				
	184	WO 00/64391	04/26/00	PCT				
	185	WO 00/64393	11/02/00	PCT				
	186	WO 00/72788	12/07/00	PCT				
	187	WO 01/50943	07/19/01	PCT				
	188	WO 01/78631	10/25/01	PCT				
	189	WO 01/78656	10/25/01	PCT				
	190	WO 03/015659	02/27/03	PCT				
(JLB)	191	WO 03/073968	09/12/03	PCT				
(JLB)	192	2 296 663 A	07/10/96	United Kingdom				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
(JLB)	193	PCT Publication – WO 01/50943, International Publication Date July 19, 2001.
(JLB)	194	Detlev Spiegel, 7 Chirurgische Glaukomtherapie , pp. 79-88.
(JLB)	195	L. Jay Katz, M.D., A Call for Innovative Operations for Glaucoma , Arch Ophthalmology, March 2000, vol. 118, pp. 412-413.

EXAMINER	<i>(Signature)</i>	DATE CONSIDERED	<i>8/31/06</i>
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
SLB	196	Anselm Kampik and Franz Grehn, Nutzen und Risiken augenärztlicher Therapie, Hauptreferate der XXXIII. Essener Fortbildung für Augenärzte , December 1998. (English translated version enclosed Benefits and Risks of Ophthalmological Therapy.)	
	197	Phillip C. Jacobi, MD, Thomas S. Dietlein, MD, and Gunter K. Kriegstein, MD., Goniocurettage for Removing Trabecular Meshwork: Clinical Results of a New Surgical Technique in Advanced Chronic Open-Angle Glaucoma , American Journal of Ophthalmology, May 1999, pp. 505-510.	
	198	Philip C. Jacobi, MD, Thomas S. Dietlein, MD, and Gunter K. Kriegstein, MD., Bimanual Trabecular Aspiration in Pseudoexfoliation Glaucoma , Ophthalmology, 1998, vol. 105, No. 5, May 1998, pp. 886-894.	
	199	Phillip C. Jacobi, MD, Thomas S. Dietlein, MD, and Gunter K. Kriegstein, MD., Microendoscopic Trabecular Surgery In Glaucoma Management , Ophthalmology, 1999, vol. 106, No. 3, pp. 538-544.	
	200	Arthur L. Schwartz, MD, and Douglas R. Anderson, MD, Trabeculaar Surgery , Arch Ophthalmol, vol. 92, August 1974, pp. 134-138.	
	201	R.A. Hill, Q. Ren, D.D. Nguyen, L-H Liaw, and M.W. Berns, Free-Electron Laser (FEL) Ablation of Ocular Tissues , Lasers Med Sci 1998, pp. 13:219-226.	
	202	Maurice H. Luntz, MD, and D.G. Livingston, B.Sc., Trabeculotomy AB Extero and Trabeculectomy in Congenital and Adult-Onset Glaucoma , American Journal of Ophthalmology, February 1977, vol. 83, No. 2, pp. 174-179.	
	203	W.M. Grant, MD, Further Studies on Facility of Flow Through the Trabecular Meshwork , A.M.A. Archives of Ophthalmology, Oct. 1958, vol. 60, pp. 523-533.	
	204	Richard A. Hill, MD, George Baerveldt, MD, Serdar A. Ozler, MD, Michael Pickford, BA, Glen A. Profeta, BS, and Michael W. Berns, PhD, Laser Trabecular Ablation (LTA) , Laser in Surgery and Medicine, 1991, vol. 11, pp. 341-346.	
	205	Detlev Spiegel, MD, Karin Kobuch, MD, Richard A. Hill, MD, Ronald L. Gross, MD, Schlemm's Canal Implant: A new Method to Lower Intraocular Pressure in Patients With POAG , Ophthalmic Surgery and Lasers, June, 1999, vol. 30, No. 6, pp. 492-494.	
	206	Hans Hoerauf, Christopher Wirbelauer, Christian Scholz, Ralf Engelhardt, Peter Koch, Horst Laqua, and Reginald Birmgruber, Slit-Lamp-Adapted Optical Coherence Tomography of the Anterior Segment , Graefe's Arch Clin. Exp. Ophthalmol, May, 1999, vol. 238, pp. 8-18.	
	207	Sumita Radhakrishnam, Andrew M. Rollins, Jonathan E. Roth, S. Yazdanfar, Volker Westphal, David Bardenstein, and Joseph Izatt, Real-Time Optical Coherence Tomography of the Anterior Segment at 1310 nm , Arch Ophthalmology, Aug. 2001, vol. 119, pp. 1179-1185.	
SLB	208	I. Grierson, R.C. Howes, and Q. Wang, Age-related Changes in the Canal of Schlemm , Exp. Eye Res., 1985, vol. 39, pp. 505-512.	
SLB	209	Luanna K. Putney, Cecile Rose T. Vibat, and Martha E. O'Donnell, Intracellular C1 Regulates Na-K-C1 Cotransport Activity in Human Trabecular Meshwork Cells , 1999 American Physiological Society, September 1999, pp. C373 through C383.	

EXAMINER	SLB	DATE CONSIDERED	8/31/08
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
<u>SLK</u>	210	Edited by Kevin Strange, Cellular and Molecular Physiology of Cell Volume Regulation , Library of Congress Cataloging In-Publication Data, CRC Press, Inc., pp. 312-321.	
	211	William Tatton, Ruth M.E. Chalmers-Redman, Ajay Sud, Steven M. Podos, and Thomas Mittag, Maintaining Mitochondrial Membrane Impermeability: An Opportunity for New Therapy in Glaucoma , Survey of Ophthalmology, vol. 45, Supplement 3, May 2001, pp. S277 through S283.	
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JZH-5874:sb 091304

EXAMINER	<u>SLK</u>	DATE CONSIDERED	<u>8/31/06</u>
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